ECE7995
(5) Caching in Processor Cache

[Adapted from Mary Jane Irwin’s slides (PSU)]
Review: The Memory Hierarchy

- Take advantage of the principle of locality to present the user with as much memory as is available in the cheapest technology at the speed offered by the fastest technology.

Increasing distance from the processor in access time:

- L1:
  - 4-8 bytes (word)
  - 8-32 bytes (block)
- L2:
  - 1 to 4 blocks
- Main Memory:
  - 1,024+ bytes (disk sector = page)
- Secondary Memory

(Relative) size of the memory at each level:

Inclusive—what is in L1 is a subset of what is in L2 is a subset of what is in MM that is a subset of what is in SM.
The Memory Hierarchy: Why Does it Work?

- **Temporal Locality** (Locality in Time):
  ⇒ Keep most recently accessed data items closer to the processor

- **Spatial Locality** (Locality in Space):
  ⇒ Move blocks consisting of contiguous words to the upper levels
The Memory Hierarchy: Terminology

- **Hit**: data is in some block in the upper level (Blk X)
  - **Hit Rate**: the fraction of memory accesses found in the upper level
  - **Hit Time**: Time to access the upper level which consists of RAM access time + Time to determine hit/miss

- **Miss**: data is not in the upper level so needs to be retrieved from a block in the lower level (Blk Y)
  - **Miss Rate**: \(1 - \text{(Hit Rate)}\)
  - **Miss Penalty**: Time to replace a block in the upper level + Time to deliver the block the processor
  - **Hit Time \ll Miss Penalty**
How is the Hierarchy Managed?

- registers ↔ memory
  - by compiler (programmer?)

- cache ↔ main memory
  - by the cache controller hardware

- main memory ↔ disks
  - by the operating system (virtual memory)
  - virtual to physical address mapping assisted by the hardware (TLB)
  - by the programmer (files)
Two questions to answer (in hardware):

- Q1: How do we know if a data item is in the cache?
- Q2: If it is, how do we find it?

Direct mapped

- For each item of data at the lower level, there is exactly one location in the cache where it might be - so lots of items at the lower level must share locations in the upper level

- Address mapping:
  
  \[(\text{block address}) \mod (\# \text{ of blocks in the cache})\]

- First consider block sizes of one word
Caching: A Simple First Example

Q1: How do we find it?

Use next 2 low order memory address bits — the index — to determine which cache block (i.e., modulo the number of blocks in the cache) (block address) modulo (# of blocks in the cache)

Q2: Is it there?

Compare the cache tag to the high order two memory address bits to tell if the memory block is in the cache

Main Memory

0000xx
0001xx
0010xx
0011xx
0100xx
0101xx
0110xx
0111xx
1000xx
1001xx
1010xx
1011xx
1100xx
1101xx
1110xx
1111xx

Two low order bits define the byte in the word (32b words)
Consider the main memory word reference string
Start with an empty cache - all blocks initially marked as not valid

- 8 requests, 6 misses
What kind of locality are we taking advantage of?
Handling Cache Hits

- Read hits (I$ and D$)
  - this is what we want!

- Write hits (D$ only)
  - allow cache and memory to be inconsistent
    - write the data only into the cache block (write-back the cache contents to the next level in the memory hierarchy when that cache block is “evicted”)
    - need a dirty bit for each data cache block to tell if it needs to be written back to memory when it is evicted
  - require the cache and memory to be consistent
    - always write the data into both the cache block and the next level in the memory hierarchy (write-through) so don’t need a dirty bit
    - writes run at the speed of the next level in the memory hierarchy – so slow! – or can use a write buffer, so only have to stall if the write buffer is full
Write Buffer for Write-Through Caching

- Write buffer between the cache and main memory
  - Processor: writes data into the cache and the write buffer
  - Memory controller: writes contents of the write buffer to memory

- The write buffer is just a FIFO
  - Typical number of entries: 4
  - Works fine if \textit{store frequency (w.r.t. time)} \ll 1 / DRAM write cycle

- Memory system designer’s nightmare
  - When the \textit{store frequency (w.r.t. time)} \to 1 / DRAM write cycle leading to write buffer saturation
      - One solution is to use a write-back cache; another is to use an L2 cache
Another Reference String Mapping

- Consider the main memory word reference string
  
  Start with an empty cache - all blocks initially marked as not valid

  0 4 0 4 0 4 0 4

  • 8 requests, 8 misses

  • Ping pong effect due to conflict misses - two memory locations that map into the same cache block
Sources of Cache Misses

- **Compulsory** (cold start or process migration, first reference):
  - First access to a block, “cold” fact of life, not a whole lot you can do about it
  - If you are going to run “millions” of instruction, compulsory misses are insignificant

- **Conflict** (collision):
  - Multiple memory locations mapped to the same cache location
  - Solution 1: increase cache size
  - Solution 2: increase associativity (next lecture)

- **Capacity**:
  - Cache cannot contain all blocks accessed by the program
  - Solution: increase cache size
Handling Cache Misses

- **Read misses (I$ and D$)**
  - stall the entire pipeline, fetch the block from the next level in the memory hierarchy, install it in the cache and send the requested word to the processor, then let the pipeline resume

- **Write misses (D$ only)**
  1. stall the pipeline, fetch the block from next level in the memory hierarchy, install it in the cache (which may involve having to evict a dirty block if using a write-back cache), write the word from the processor to the cache, then let the pipeline resume
     or (normally used in write-back caches)
  2. Write allocate – just write the whole word into the cache updating both the tag and data, no need to check for cache hit, no need to stall
     or (normally used in write-through caches with a write buffer)
  3. No-write allocate – skip the cache write and just write the word to the write buffer (and eventually to the next memory level), no need to stall if the write buffer isn’t full; must invalidate the cache block since it will be inconsistent (now holding stale data)
Multiword Block Direct Mapped Cache

- Four words/block, cache size = 1K words

What kind of locality are we taking advantage of?
Taking Advantage of Spatial Locality

- Let cache block hold more than one word

Start with an empty cache - all blocks initially marked as not valid

<table>
<thead>
<tr>
<th>Request</th>
<th>Cache Content</th>
<th>Memory Content</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>00 Mem(1) Mem(0)</td>
<td>00 Mem(1) Mem(0)</td>
</tr>
<tr>
<td>1</td>
<td>00 Mem(3) Mem(2)</td>
<td>00 Mem(3) Mem(2)</td>
</tr>
<tr>
<td>2</td>
<td>00 Mem(3) Mem(2)</td>
<td>00 Mem(3) Mem(2)</td>
</tr>
<tr>
<td>3</td>
<td>00 Mem(3) Mem(2)</td>
<td>00 Mem(3) Mem(2)</td>
</tr>
<tr>
<td>4</td>
<td>00 Mem(3) Mem(2)</td>
<td>00 Mem(3) Mem(2)</td>
</tr>
</tbody>
</table>

- 8 requests, 4 misses

Exploitation of spatial locality has the benefit of prefetching

Could you give an access stream that foils the effort? (one word/block is best and two words/block is worst)
- Miss rate goes up if the block size becomes a significant fraction of the cache size because the number of blocks that can be held in the same size cache is smaller (increasing capacity misses).
**Block Size Tradeoff**

- Larger block sizes take advantage of spatial locality but
  - If the block size is too big relative to the cache size, the miss rate will go up
  - Larger block size means larger miss penalty
    - Latency to first word in block + transfer time for remaining words

- In general, **Average Memory Access Time**
  \[
  \text{Average Memory Access Time} = \text{Hit Time} + \text{Miss Penalty} \times \text{Miss Rate}
  \]
Multiword Block Considerations

- Read misses (I$ and D$)
  - Processed the same as for single word blocks – a miss returns the entire block from memory
  - Miss penalty grows as block size grows
    - *Early restart* – datapath resumes execution as soon as the requested word of the block is returned
    - *Requested word first* – requested word is transferred from the memory to the cache (and datapath) first
  - Nonblocking cache – allows the datapath to continue to access the cache while the cache is handling an earlier miss

- Write misses (D$)
  - Can’t use write allocate or will end up with a “garbled” block in the cache (e.g., for 4 word blocks, a new tag, one word of data from the new block, and three words of data from the old block), so must fetch the block from memory first and pay the stall time
Cache Summary

- The Principle of Locality:
  - Program likely to access a relatively small portion of the address space at any instant of time
    - Temporal Locality: Locality in Time
    - Spatial Locality: Locality in Space

- Three major categories of cache misses:
  - Compulsory misses: sad facts of life. Example: cold start misses
  - Conflict misses: increase cache size and/or associativity
    Nightmare Scenario: ping pong effect!
  - Capacity misses: increase cache size

- Cache design space
  - total size, block size, associativity (replacement policy)
  - write-hit policy (write-through, write-back)
  - write-miss policy (write allocate, write buffers)